



#5

PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0036-00  
InterTrust Ref. No.: IT-38.1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
David P. MAHER et al. ) Group Art Unit: 2131  
Application No.: 09/879,743 ) Examiner: Gail O. HAYES  
Filed: June 11, 2001 )  
For: SYSTEMS AND METHODS FOR )  
MANAGING AND PROTECTING )  
ELECTRONIC CONTENT AND )  
APPLICATIONS )

**RECEIVED**

APR 05 2004

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

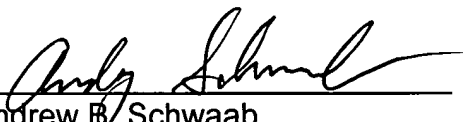
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 31, 2004

By:   
Andrew B. Schwaab  
Reg. No. 38,611

APR 02 2004

## INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

Atty. Docket No.	07451.0036-00000	Appln. No.	09/879,743
Applicant	David P. Maher, et al.		
Filing Date	June 11, 2001	Group:	2131

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	09/617,148		Mareshwari, et al.			07/17/00
	09/628,692		Sibert			07/28/00
	09/863,199		Weeks et al.			05/21/01
	09/874,744		MacKay et al.			06/04/01
	4,827,508	05/02/89	Shear			
	5,892,900	04/06/99	Ginter et al.			
	5,530,235	06/25/96	Stefik et al.			
	5,534,975	07/09/96	Stefik et al.			
	5,629,980	05/13/97	Stefik et al.			
	5,634,012	05/27/97	Stefik et al.			
	5,638,443	06/10/97	Stefik et al.			
	5,715,403	02/03/98	Stefik			
	5,920,861	07/06/99	Hall et al.			
	5,943,422	08/24/99	Van Wie et al.			
	5,982,891	11/09/99	Ginter et al.			
	6,112,181	08/29/00	Shear et al.			
	6,157,721	12/05/00	Shear et al.			
	6,185,683	02/06/01	Ginter et al.			

RECEIVED

APR 05 2004

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	EP 0 715 247 A1	06/96	EPO			

Examiner	Date Considered
----------	-----------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

Patent and Trademark Office - U.S. Department of Commerce

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	07451.0036-00000	Appln. No.	09/879,743
Applicant	David P. Maher, et al.		
Filing Date	June 11, 2001	Group:	2131

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	WO 97/43761	11/20/97	PCT			
	WO 98/10381	03/12/98	PCT			
	WO 01/06374	01/25/01	PCT			
	WO 01/10076	02/08/01	PCT			

RECEIVED

APR 05 2004

Technology Center 2100

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Menezes, A. J. et al., <u>Handbook of Applied Cryptography</u> , CRC Press, Oct. 1996. ISBN 0-8493-8523-7 (hardcover).
	Schneier, B., <u>Applied Cryptography</u> , 2d ed., John Wiley & Sons, Oct. 1995. ISBN 0-4711-1709-9 (paperback).
	Olin Sibert et al., "DigiBox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, pp. 1-9.
	Olin Sibert et al., "Securing the Content, Not the Wire, for Information Commerce," InterTrust Technologies Corporation, 1996, pp. 1-12.
	Stefik, M., "Trusted Systems," Scientific American, March 1997, pp. 78-81.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, pp. 1-35.
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Internet Dreams: Archetypes, Myths, and Metaphors. Massachusetts Institute of Technology, 1996, pp. 219-53.
	Stefik, M., "Chapter 7, Classification, Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce